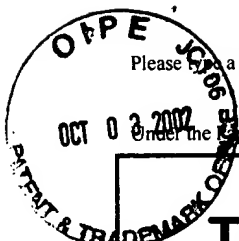


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PTO/SB/21 (6/98)

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TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application No. 09/944,832

Filing Date August 31, 2001

First Named Inventor Jacobs

Group Art Unit 2185

Examiner Name

Total Number of Pages in this Submission:

Attorney Docket No. OR01-03301

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Remarks:



22200

PATENT TRADEMARK OFFICE

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Name	Daniel E. Vaughan (Registration No. 42,199)	Date	October 3, 2002
Signature	<i>Daniel E. Vaughan</i>	Telephone	650/474-1973
Address	702 Marshall Street, Suite 310, Redwood City, CA 94063	Facsimile	650/474-1976

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U. S. Postal Service as ☒ Express Mail (No. EV 125 788 153 US) or ☐ First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: October 3, 2002

Type or Printed Name	Daniel E. Vaughan	Signature	<i>Daniel Vaughan</i>
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Attorney Docket No.: OR01-03301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jacobs, *et al.*
Application No. : 09/944,832
Filed : August 31, 2001
Docket : OR01-03301
Title : Self-Adaptive Hybrid Cache

Group/Art Unit : 2185
Examiner :

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56 and 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Listed below and/or on an attached form PTO/SB/08A is information known to applicant(s), which is hereby submitted pursuant to 37 C.F.R. § 1.56. The right is reserved to antedate any included publication or patent in accordance with standard procedure.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56.

 X (New Application) Copies of each listed publication and U.S. and foreign patent, except for pending U.S. applications, are submitted herewith, along with a concise explanation of information in a foreign language (if any).

 (Continuation Application) The listed references were cited by the Applicant(s) or Examiner in the parent application, U.S. Serial No. _____, filed on _____. Pursuant to 37 C.F.R. § 1.98(d), copies of these items are not enclosed but may be found in the file history of the parent application.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the application identified above. If form PTO/SB/08A is attached, the Examiner is requested to initial and return it in accordance with MPEP § 609.



37 C.F.R. § 1.97(b). No fee is believed due, because (check all that apply):



(1) It is being filed within 3 months of the application filing date; OR



(2) It is being filed within 3 months of entry of a national stage; OR



(3) It is being filed before the mailing date of the first Office Action on the merits.



37 C.F.R. § 1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in § 1.491 in an international application; or (3) the mailing date of a first office action on the merits, but before the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, then:



a certification as specified in 37 C.F.R. § 1.97(e) is provided below; or



a fee of \$180.00 as set forth in 37 C.F.R. § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.



37 C.F.R. § 1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, but before payment of the issue fee, then:



a certification as specified in § 1.97(e) is completed below; and



a petition under 37 C.F.R. § 1.97(d) requesting consideration of this statement is submitted herewith; and



a fee of \$130.00 as set forth in § 1.17(i) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.



Statement under 37 C.F.R. § 1.97(e). I hereby certify that either: each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the information disclosure statement; or no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in section 1.56(c) more than three months prior to the filing of the information disclosure statement:

Signature: _____
Daniel E. Vaughan (Reg. No. 42,199)

Date: _____

Respectfully submitted,

Date: October 3, 2002

By: _____
Daniel E. Vaughan (Reg. No. 42,199)

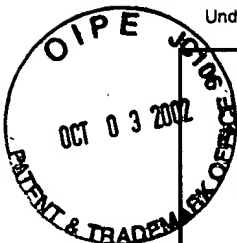
PARK, VAUGHAN & FLEMING LLP
702 Marshall Street, Suite 310
Redwood City, CA 94063
Telephone: (650) 474-1973
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	09/944,832
Filing Date	August 31, 2001
First Named Inventor	Jacobs
Group Art Unit	2185
Examiner Name	
Attorney Docket No.	OR01-03301

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		WESSELS, et al., ICP and the Squid Web Cache, pages 1-25. August 13, 1997	
		WESSELS, et al., Internet Cache Protocol (ICP), version 2, pages 1-9. National Laboratory for Applied Network Research/UCSD, September 1997	
		KAWAI, et al., A Quantitative Analysis of ICP Queries at the Distributed WWW Caching System. www.isoc.org/isoc/conferences/inet/99/proceedings/4n/4n.2.htm , August 14, 2002	
		KANTOR, et al., Network News Transfer Protocol: A Proposed Standard for the Stream-Based Transmission of News, pages 1-27. February 1986	

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